

**Settlement Agreements - Fiscal Year 2010 (07/01/09 - 06/30/10)**

HFPB / HFSRB Docket	Violation / Infraction	Final Order Executed	Settlement / Resolution	Total Estimated Value
06-21	Failed to complete project within required time frame	04/20/10	In-kind services: unreimbursed reduction or waiver of monthly fees for residents in need of financial assistance	\$108,000.00
07-12	Failed to submit annual progress reports and reports of completion and final costs within required time frames	04/20/10	\$20,000.00 fine plus purchase and administration of 2,000 doses general influenza vaccine	\$60,000.00
07-25	Failed to complete project within permit amount (cost overrun)	10/13/09	\$25,000.00 fine	\$25,000.00
07-26	Failed to complete project within required time frame; failed to submit report of final project costs	05/13/10	\$1,000.00 fine	\$1,000.00
07-31	Failed to submit annual progress reports and complete project within required time frames	09/02/09	In-kind services to fund hospital addictions unit	\$35,000.00
07-53	Failed to submit response to 2006 annual questionnaire within required time frame	03/24/10	\$1,000.00 fine	\$1,000.00
07-77	Failed to submit annual progress report and report of completion/final realized costs within required time frames	03/24/10	\$25,000.00 fine	\$25,000.00
07-79	Failed to submit complete annual progress reports; late report of final realized costs documented cost overrun	06/08/10	\$7,500.00 fine plus in-kind services in the form of Health & Safety Fair [see HFPB #08-01 (settled together)]	\$0.00
07-92	Executed change of ownership without HFPB approval	12/02/09	\$20,000.00 fine [see HFPB #08-46 (settled together)]	\$0.00
08-01	Failed to submit complete annual progress reports; late report of final realized costs documented cost overrun	06/08/10	\$7,500.00 fine plus in-kind services in the form of Health & Safety Fair [see HFPB #07-79 (settled together)]	\$23,366.52
08-13	Failed to submit response to 2007 annual questionnaire within required time frame	09/02/09	\$1,000.00 fine	\$1,000.00
08-14	Failed to submit response to 2007 annual questionnaire within required time frame	04/20/10	\$1,000.00 fine	\$1,000.00
08-33	Failed to submit response to 2007 annual questionnaire within required time frame	03/24/10	1,000.00 fine (4 monthly installments of \$250.00 ea)	\$1,000.00
08-43	Failed to complete project by date required	10/13/09	In-kind services to be provided over 2 years, including: \$12,500 in waived physician services; \$60,000 in waived facility fees; and \$12,500 in diagnostic services	\$85,000.00
08-45	Discontinued multiple categories of service without permit	01/13/10	\$172,000.00 fine [see HFPB #09-05 (settled together)]	\$172,000.00
08-46	Discontinued facility (hospital) without permit	12/02/09	\$20,000.00 fine [see HFPB #07-92 (settled together)]	\$20,000.00
08-47	Failed to complete project by date required	10/13/09	\$70,000.00 annual cash payment plus in-kind physicians services worth \$278,806.00 annually (all to community health clinic); payments and services to last 2 years	\$697,612.00
09-05	Discontinued multiple categories of service without permit	01/13/10	\$172,000.00 fine [see HFPB #08-45 (settled together)]	\$0.00
09-06	Discontinued facility (hospital) without permit	01/13/10	\$20,000.00 fine	\$20,000.00
10-02	Failed to submit notice of project completion within required time frame	05/12/10	\$3,000.00 fine	\$3,000.00
				\$1,278,978.52